

CHIEF EXECUTIVE'S RISK REGISTER (AS AT Q4 2019/20)

Appendix C

Risk	Rating and Current Position	Risk Treatment	Mitigating Actions	Change since last Quarter
CE1. Failure of economic development partnerships leading to an adverse impact on businesses and local economy.	Likelihood is unlikely and impact is moderate.	Tolerate the current situation and keep under review.	- Proactive engagement in partnerships and with individual partners. - Commitment of officer time and resources to partnership activities. - Monitoring of projects and performance.	No change to rating or treatment.
CE2. Failure of the South Derbyshire Partnership, leading to non-delivery of the community's vision and priorities set out in the Community Strategy	Likelihood is unlikely and impact is moderate.	Treat the risk through continuous action and review.	- Proactive support for partnership. - Commitment of officer time and resources to partnership facilitation. - Engagement of partners in policy making and project design and delivery.	No change to rating or treatment.
CE3. Failure of Sharpe's Pottery Heritage & Arts Trust, leading to a loss of service to visitors and residents through the Tourist Information Centre.	Likelihood is medium and impact is moderate.	Treat the risk through continuous action and review.	- Officer advice and support available to Trust. - Member involvement in Trust Board. - Monitoring of services and performance, including Service Level Agreement and Work Programme.	No change to rating or treatment.
CE4. Poor quality performance data.	Likelihood is unlikely and impact is moderate.	Treat the risk through continuous action and review.	- Reviewed quarterly as part of the performance reporting process. - Methodology statements compiled and continually monitored and updated. - Annual data quality audit undertaken.	No change to rating or treatment.
CE5. Failure of joined up Council approach to effectively manage the election process and canvassing.	Likelihood is unlikely and impact is moderate	Treat the risk through continuous action and review.	- Elections Project Team in place and meets as necessary with representatives from all services involved. - Arrangements in place for an Election to be called at short notice. - Arrangements in place for Referenda/By-Elections to be called.	No change to rating or treatment.

CHIEF EXECUTIVE'S RISK MATRIX (as at Q4 2019/20)

Likelihood					
		1 Minor	2 Moderate	3 Significant	4 Catastrophic
4 High					
3 Medium			CE3		
2 Low					
1 Unlikely			CE1, CE2, CE4 and CE5		
		Impact			

CE1 Failure of economic development partnerships leading to an adverse impact on businesses and the local economy.

CE2 Failure of the South Derbyshire Partnership, leading to non-delivery of the community's vision and priorities set out in the Community Strategy

CE3 Failure of Sharpe's Pottery Heritage & Arts Trust, leading to a loss of service to visitors and residents through the Tourist Information Centre.

CE4. Poor quality performance data.

CE5. Failure of joined up Council approach to effectively manage the election process.