

<b>REPORT TO:</b>	<b>AUDIT SUB-COMMITTEE</b>	<b>AGENDA ITEM:9</b>
<b>DATE OF MEETING:</b>	<b>9<sup>TH</sup> DECEMBER 2020</b>	<b>CATEGORY: DELEGATED</b>
<b>REPORT FROM:</b>	<b>STRATEGIC DIRECTOR FOR CORPORATE SERVICES</b>	<b>OPEN</b>
<b>MEMBERS' CONTACT POINT:</b>	<b>KEVIN STACKHOUSE (5811)</b>	<b>DOC:</b>
<b>SUBJECT:</b>	<b>DATA QUALITY &amp; PERFORMANCE MANAGEMENT</b>	
<b>WARD (S) AFFECTED:</b>	<b>ALL</b>	<b>TERMS OF REFERENCE: AS 04</b>

## **1.0 Recommendations**

- 1.1 That Members note the recommendations and responses provided in **(Appendix A)** in the Data Quality and Performance Management Audit Recommendations and Response Matrix 2019/20.
- 1.2 That the completed Action plan for 2019/20 following the audit **(Appendix B)** is noted.
- 1.3 That the Action plan for 2020/21 in **(Appendix C)** is approved.

## **2.0 Purpose of the Report**

- 2.1 To offer assurance that adequate processes and levels of control are in place to uphold high standards of Performance data quality.

## **3.0 Executive summary**

- 3.1 Central Midlands Audit Partnership (CMAP) carried out an audit of Corporate Data Quality and Performance Management in September 2019 to provide assurance that suitable governance arrangements for managing and monitoring performance data quality were in place, and that robust processes were in place to ensure the overall accuracy and validity of reported performance data.

## **4.0 Detail**

- 4.1 An audit of data quality arrangements was completed to assess performance indicators to evaluate the systems in place for the monitoring and review of data quality.



- 4.2 At the time of the audit a new Corporate Plan was being prepared and key aspects of the Performance Management Framework and reporting processes were being reviewed, including changes to the way Corporate Performance was reported to Committee and the Leadership Team.
- 4.3 The New Corporate Plan 20-24 was approved by Committee in October 2019 and changes to the way Corporate Performance was reported to Committee was approved in February 2020.
- 4.4 The Audit performed a high-level review of a sample of 19 of the 46 current corporate performance indicators.
- 4.5 The report contained 7 recommendations, all of which were considered a low or moderate risk and the reported offered 'reasonable assurance' that most of the areas reviewed were found to be adequately controlled.
- 4.6 Members should note that there are no adverse implications for the Council's Annual Governance Statement arising from the audit.
- 4.7 A response matrix detailing actions to address the 7 recommendations has been submitted to and accepted by CMAP. A copy is attached at **(Appendix A)**

## **5.0 Financial and Implications**

- 5.1 There are no direct financial implications associated with this report.

## **6.0 Corporate Implications**

The revised Performance Management Framework sets out how we will collect data in order to manage our performance and set priorities. This requires all services to ensure that accurate and reliable data is produced.

### **6.1 Employment Implications**

There are no direct employment implications associated with this report.

### **6.2 Legal Implications**

There are no direct legal implications associated with this report.

### **6.3 Corporate Plan Implications**

Good performance data quality ensure decisions regarding services and their performance are made in an accountable and transparent manner. This enables residents and stakeholders to understand achievements towards the delivery of the Councils Corporate Plan 2020-2024.



## **6.4 Risk Impact**

The Council's Risk Registers are reviewed quarterly and reported to the Finance and Management Committee, Housing and Community Services Committee and Environment and Development Services as part of the Corporate Plan Performance Reports.

## **7.0 Community Impact**

### **7.1 Consultation**

None required.

### **7.2 Equality and Diversity Impact**

Not applicable in the context of the report.

### **7.3 Social Value Impact**

Not applicable in the context of the report.

### **7.4 Environmental Sustainability**

Not applicable in the context of the report.

## **8.0 Appendices**

Appendix A – Recommendations and Response Matrix

Appendix B – Completed Action plan for 2019/2020

Appendix C – Action Plan for 2020/2021

### **Notes:**

- \* Category – Please see the Committee Terms Of Reference in [Responsibility for Functions - Committees](#). This shows which committee is responsible for each function and whether it has delegated authority to make a decision, or needs to refer it elsewhere with a recommendation.
- \*\* Open/Exempt - All reports should be considered in the open section of the meeting, unless it is likely that exempt information would be disclosed. Please see the [Access to Information Procedure Rules](#) for more guidance.
- \*\*\* Committee Terms Of Reference in [Responsibility for Functions - Committees](#).

