

CHIEF EXECUTIVE’S RISK REGISTER (AS AT Q4 2018/19)

Appendix D

Risk	Rating and Current Position	Risk Treatment	Mitigating Actions	Change since last Quarter
CE1. Failure of tourism partnerships leading to an adverse impact on businesses in visitor economy.	Likelihood is unlikely and impact is moderate.	Tolerate the current situation and keep under review.	<ul style="list-style-type: none"> • Proactive engagement in partnerships and with individual partners • Commitment of officer time and resources to partnership activities • Monitoring of projects and performance 	No change to rating or treatment.
CE2. Failure of the South Derbyshire Partnership, leading to non-delivery of the community’s vision and priorities set out in the Community Strategy	Likelihood is unlikely and impact is moderate.	Treat the risk through continuous action and review.	<ul style="list-style-type: none"> • Proactive support for partnership • Commitment of officer time and resources to partnership facilitation • Engagement of partners in policy making and project design and delivery 	No change to rating or treatment.
CE3. Failure of Sharpe’s Pottery Heritage & Arts Trust, leading to a loss of service to visitors and residents through the Tourist Information Centre.	Likelihood is medium and impact is moderate.	Treat the risk through continuous action and review.	<ul style="list-style-type: none"> • Officer advice and support available to Trust <ul style="list-style-type: none"> • Member involvement in Trust Board • Monitoring of services and performance, including Service Level Agreement and Work Programme 	No change to rating or treatment.
CE4. Poor quality performance data.	Likelihood is unlikely and impact is moderate.	Treat the risk through continuous action and review.	<ul style="list-style-type: none"> • Reviewed quarterly as part of the performance reporting process. • Methodology statements compiled and continually monitored and updated <ul style="list-style-type: none"> • Annual data quality audit undertaken. 	No change to rating or treatment.
CE5. Failure of joined up Council approach to effectively manage the election process and canvassing.	Likelihood is unlikely and impact is moderate	Treat the risk through continuous action and review.	<ul style="list-style-type: none"> • Elections Project Team in place and meets regularly with representatives from all services involved. • Arrangements in place for local election on 2nd May and European elections on the 23rd May. 	New risk

CHIEF EXECUTIVE'S RISK MATRIX (as at Q4 2018/19)

Likelihood		Impact			
		1 Minor	2 Moderate	3 Significant	4 Catastrophic
4 High					
3 Medium		CE3			
2 Low					
1 Unlikely		CE1, CE2, CE4 and CE5			

- CE1 Failure of tourism partnerships leading to an adverse impact on businesses in visitor economy.
- CE2 Failure of the South Derbyshire Partnership, leading to non-delivery of the community's vision and priorities set out in the Community Strategy
- CE3 Failure of Sharpe's Pottery Heritage & Arts Trust, leading to a loss of service to visitors and residents through the Tourist Information Centre.
- CE4. Poor quality performance data.
- CE5. Failure of joined up Council approach to effectively manage the election process.