

## CORPORATE RESOURCES RISK REGISTER (AS AT SEPTEMBER 30, 2018)

Risk	Rating and Current Position	Risk Treatment	Mitigating Actions	Change since last quarter
<p>1 Universal Credit (UC) – the implementation of UC could have an impact on resources in Benefits and Customer Services.</p>	<p>Currently being rolled out but impact on local resources currently limited.</p> <p>The potential impact could be greater from the next stages of implementation.</p>	<p>Treat the risk through continuous action and review.</p>	<p>The impact of welfare reform to date has been incremental and has not had any significant impact directly on staffing resources.</p> <p>UC is still planned to be rolled out to all new claimants in South Derbyshire from November 2018, with further roll-outs for all claimants expected from July 2019. Full implementation is expected by 2022/23 for all working age claimants, although the Government is currently reviewing this timetable.</p> <p>Eventually, this is likely to reduce the amount of housing Benefit claims being processed. It is anticipated that spare capacity will be utilised to support claimants as they switch to UC. Staff levels will be kept under review.</p>	<p>No change to rating or treatment.</p>
<p>2 Fraudulent activity – the possibility of fraud being undetected in Revenues, Benefits and Housing, etc.</p>	<p>Fraud is being prevented and detected. However, national studies show fraud is leading to a significant loss of resources.</p>	<p>Treat the risk through continuous action and review.</p>	<p>The Council works in partnership with other Derbyshire authorities who share a software package that enables data matching in Council Tax and Business Rates.</p> <p>Single Person Discount checks undertaken regularly.</p> <p>In addition, a Partnership arrangement with Derby City Council was implemented in January 2017, following the appointment of a new and dedicated Fraud Unit at the City.</p> <p>The Council buys in the equivalent of one post to help detect fraud in other areas across the Council, allowing knowledge and skills to be shared. The performance of the Partnership is measured and reported to the Committee on a quarterly basis.</p>	<p>No change to rating or treatment.</p>

<p>3 The Directorate is responsible for providing a procurement service. Given the specialised and regulatory nature, there is a risk of not having resources to enable good quality advice and support for Services.</p>	<p>The Council does not have its own procurement department but currently has access to support and advice so the likelihood is considered low.</p>	<p>Treat the risk through continuous action and review</p>	<p>The Council is part of a Shared Service Arrangement with other Derbyshire Agencies. The Service commenced in January 2018 for a three year term. As per the Service Level Agreement, performance is monitored on an ongoing basis.</p>	<p>Potential risk added to the Service Register.</p>
<p>4 Small sized units – services such as Finance, Property and Land Charges contain small teams.</p>	<p>Current vacancies in Property Services and Land Charges proving difficult to fill; a long-term absence is affecting service delivery.</p>	<p>Treat the risk through continuous action and review</p>	<p>Training and sharing knowledge within teams is essential to mitigate the risk and this is currently in place. There are trainee posts and a Modern Apprentice (MA) in place with the post holders undergoing formal training programmes, both academically and practically.</p> <p>Training and development is available and utilised by all staff.</p> <p>Current absences and vacancies in Property Services and Land Charges are being covered by temporary staff. The MA is receiving specific training in the processing of Land Searches to enhance resilience in the future.</p>	<p>No change to rating or treatment.</p> <p><b>Note: This risk is now included in the Strategic Risk Register as part of the corporate capacity and resilience issue which can potentially affect all services.</b></p>

### CORPORATE RESOURCES OPERATIONAL RISK MATRIX

Likelihood					
4 High		Fraudulent activity (2)			
		Small sized units (4)			
3 Medium			Universal Credit (1)		
2 Low			Procurement (3)		
1 Unlikely					
		1 Minor	2 Moderate	3 Significant	4 Catastrophic
		<b>Impact</b>			