

## Quarter 4, 2021-2022 Chief Executive Risk Register

| REF | RISK TITLE & DESCRIPTION  | RISK CAUSE   | RISK IMPACT  | RISK CATEGORY<br><br>Strategic<br>Operational<br>Financial<br>Knowledge management<br>Compliance, Partnership | Current Risk Rating<br>(See table below for guidance) |        |             | CONTROLS IN PLACE TO MITIGATE THE RISK   | Risk Rating after mitigations<br>(See table below for guidance) |        |             | FURTHER ACTION REQUIRED  | SUMMARY OF CHANGE SINCE LAST QUARTER | RISK OWNER                      |
|-----|---|--|--|---|---|--------|-------------|--|---|--------|-------------|--|--------------------------------------|---------------------------------|
|     |   |  |  |   | LIKELIHOOD  | IMPACT | RISK RATING |  | LIKELIHOOD  | IMPACT | RISK RATING |  |                                      |                                 |
| CE1 | Economic development partnerships   | Failure of economic development partnerships                                 | Leading to an adverse impact on businesses and local economy                                       | Partnership   | 2   | 2      | 4           | <ul style="list-style-type: none"> <li>Proactive engagement in partnerships and with individual partners.</li> <li>Commitment of officer time and resources to partnership activities.</li> </ul>  | 1   | 2      | 2           | <ul style="list-style-type: none"> <li>Monitoring of projects and performance.</li> </ul>                                  | No change in Q4.                     | Economic Development and Growth |
| CE2 | South Derbyshire Partnership working  | Failure of the South Derbyshire Partnership                                  | Leading to non-delivery of the community's vision and priorities set out in the Community Strategy | Partnership / Strategic   | 2   | 3      | 6           | <ul style="list-style-type: none"> <li>Proactive support for partnership.</li> <li>Commitment of officer time and resources to partnership facilitation.</li> <li>Engagement of partners in policy making and project design and delivery.</li> </ul>  | 1   | 3      | 3           | <ul style="list-style-type: none"> <li>Monitoring of projects and performance by Strategic Co-ordinating Group.</li> </ul> | No change in Q4.                     | Economic Development and Growth |
| CE3 | Transfer of responsibility for visitor information provision from Sharpe's Pottery Heritage and Arts Trust to the Council | Interruption of service delivery whilst transferring services to the Council | Leading to associated risk of service disruption   | Operational   | 2   | 2      | 4           | <ul style="list-style-type: none"> <li>Commitment of officer time and resources.</li> <li>Transformation Project is underway.</li> </ul>   | 1   | 2      | 2           | <ul style="list-style-type: none"> <li>Monitoring of progress through Transformation Project Team arrangements.</li> </ul> | No change in Q4.                     | Economic Development and Growth |
| CE4 | Effectively manage the election process and canvassing.   | Failure of joined up Council approach  | Leading to a failed election process   | Strategic   | 2   | 2      | 4           | <ul style="list-style-type: none"> <li>Elections Project Team in place and meets as necessary with representatives from all services involved.</li> <li>Arrangements in place for an Election to be called at short notice.</li> <li>Arrangements in place for Referenda/By-Elections to be called.</li> </ul> | 1   | 2      | 2           | <ul style="list-style-type: none"> <li>Monitoring of service delivery under review consistently</li> </ul>                 | No change in Q4                      | Legal and Democratic Services   |