

AUDIT SUB-COMMITTEE

30th September 2015

PRESENT:-

Conservative Group

Councillor Grant (Chairman), Councillor Ford (Vice-Chairman) and Councillor Atkin.

Labour Group

Councillors Dunn and Shepherd.

AS/13 **APOLOGIES**

The Committee was informed that no apologies had been received.

AS/14 **DECLARATIONS OF INTEREST**

The Committee was informed that no declarations of interest had been received.

AS/15 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE PUBLIC PURSUANT TO COUNCIL PROCEDURE RULE NO.10**

The Committee was informed that no questions from members of the public had been received.

AS/16 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11**

The Committee was informed that no questions from Members of the Council had been received.

MATTERS DELEGATED TO SUB-COMMITTEE

AS/17 **THE AUDIT FINDINGS FOR SOUTH DERBYSHIRE DISTRICT COUNCIL**

The representative from the Council's external auditors, Grant Thornton, presented the report to Members, confirming the various unqualified conclusions, but making particular reference to the Payment of the Efficiency Dividend, in terms of governance, value for money and affordability.

Queries and comments made by Members in relation to the Financial Governance risk assessment rating, the Management response in the Action Plan, the references to the Council in the press and Parliament, the make-up of the visual risk categories and the Significant Matters element of the report were noted and responded to by the Chief Executive Officer and the Director of Finance and Corporate Services.

RESOLVED:-

1.1 That the report of the External Auditor be considered and approved.

(Abstention: Councillor Shepherd)

1.2 That the Action Plan in Appendix A to the report be approved.

(Abstentions: Councillors Dunn and Shepherd)

The Chief Executive Officer thanked the representative from Grant Thornton for their input to the report and their professional handling of the matter in these unusual circumstances, before leaving the Chamber at 4.35pm.

AS/18 **INTERNAL AUDIT PROGRESS REPORT**

The Internal Auditor delivered the report to Members, drawing attention to the one Limited Assurance rating applied to Capacity Management and confirming that the plans had been discussed with the Director of Finance and Corporate Services.

Councillor Shepherd queried, with regard to matters relating to Corporate Governance, the timeframe for responses to queries. The Legal and Democratic Services Manager confirmed that his comments would be taken into consideration.

Councillor Atkin referred to the Capacity Management issues and requested further details on the issues and the action to be taken. The Director of Finance and Corporate Services confirmed that proposals would be presented to the Finance and Management Committee.

RESOLVED:-

That the report of the Audit Manager be considered and that any issues identified be referred to the Finance and Management Committee or be subject to a follow-up report as appropriate.

AS/19 **LOCAL GOVERNMENT ACT 1972 (AS AMENDED BY THE LOCAL GOVERNMENT [ACCESS TO INFORMATION] ACT 1985)**

RESOLVED:-

That in accordance with Section 100 (A)(4) of the Local Government Act 1972 (as amended) the press and public be excluded from the remainder of the Meeting as it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that there would be disclosed exempt information as defined in the paragraph of Part I of the Schedule 12A of the Act indicated in the header to each report on the Agenda.

EXEMPT QUESTIONS BY MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11

The Committee was informed that no questions from Members of the Council had been received.

The Meeting terminated at 4.50pm.

COUNCILLOR J GRANT

CHAIRMAN