

	Degree of Control	Risk Rating	Mitigating Action
<p>Further reductions in Government Grant</p> <ul style="list-style-type: none"> Local authorities are expected to face further reductions over and above those currently notified. This includes a reduction in funding to support the new Council Tax Allowance scheme. In addition, uncertainty around how the new NNDR redistribution system will impact upon the Council. <p><i>(Main Chief Officer Responsible – Head of Corporate Services)</i></p>	Treat the Risk	Remains High	<ul style="list-style-type: none"> Updated Medium-term Financial Plan in place covering 5-years. This allows for a reduction in overall resources. Budgets considered prudent with provisions for inflation and growth. Current reserves are healthy and will help to sustain further reductions in the short-term allowing time for more sustainable action. On-going work/transformation programme in place to generate efficiencies and budget savings. As a growth area, overall reduction could be mitigated through the New Homes Bonus and the new national redistribution system. The risk remains high until the requisite budget savings have been made and the impact of CSR 2013 is known.
<p>Continuing effects of the Economic Downturn</p> <ul style="list-style-type: none"> As a growth area, potential income streams from planning and grants are limited, whilst pressure remains on expenditure. In addition, an increase in the number of people claiming benefits and finding difficulty in paying council tax and housing rent. Development and regeneration projects such as the Depot relocation are delayed which hinders service provision and limits the ability to meet identified needs such as affordable housing. <p><i>(Main Chief Officers Responsible – Head of Corporate Services and Head of Community and Planning Services)</i></p>	Tolerate the Risk	Remains Medium	<ul style="list-style-type: none"> Budgets for income streams and specific grant allocations are assumed at current minimum levels; service provision is based on this. Supporting voluntary and community groups to support people in need, including through the Derbyshire Financial Inclusion Partnership. Measures in place to maximise benefit subsidy and fraud is proactively being pursued with successful prosecutions. The Asset Management Plan has been refocused to ensure our assets are being positioned to react to an economic upturn. On-going dialogue with developers and housing providers to look at alternative options for regeneration. The risk remains Medium, but it is considered that the Council is undertaking as much action as reasonably possible to mitigate these external factors.
<p>Keeping pace with Technology</p> <ul style="list-style-type: none"> The Council's IT infrastructure is becoming dated and capacity to store and handle data efficiently is becoming limited compared to more modern day solutions. Greater use of technology and managing data in 	Treat the Risk	Remains Medium	<ul style="list-style-type: none"> The IT strategy has identified some key projects that are currently being actioned, with the server infrastructure now upgraded. E-mail archiving/storage system, desktop virtualisation and Microsoft upgrade now nearing finalisation. The Council undertakes an annual independent health check to ensure that the Council is compliant with the industry standard (PSN) IT Code of Connection. Annual internal audit system review, tests

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<p>electronic form, brings responsibilities to ensure information is stored properly and securely</p> <p>(Main Officer Responsible – Head of Corporate Services)</p>			<p>robustness of systems and infrastructure – actions monitored by the Audit Sub-Committee.</p> <ul style="list-style-type: none"> Although the risk remains Medium, current investment and positive actions over the remaining year should lower this risk in the longer-term term.
<p>Business Continuity and in particular the loss of the main Civic Offices.</p> <ul style="list-style-type: none"> Council services are predominantly managed from one Administrative Building. There is no alternative building to accommodate an IT facility which is crucial to enable many services to operate. <p>(Main Chief Officer Responsible – Head of Corporate Services)</p>	Treat the Risk	Remains High	<ul style="list-style-type: none"> Disaster Recovery solution now being implemented off-site. Maintain relationships with other agencies and partners to secure alternative accommodation on a reciprocal basis if required. Could take advantage of spare capacity in private sector market although this would depend on timing. Although the likelihood of occurrence is low, the potential impact of this risk is high. However, current investment into the off-site facility to provide a disaster recovery solution should lower this risk in the longer-term term.
<p>Capacity and Resilience in Service Provision</p> <ul style="list-style-type: none"> Reducing staff and budget resources could create capacity and resilience within council services. <p>(Main Chief Officer Responsible – Chief Executive Officer)</p>	Treat the Risk	Remains Medium	<ul style="list-style-type: none"> Training and development programme being reviewed to include change management and “doing more with less.” External service provider currently being procured. Current structures becoming mature and bedding in although pressure remains.
<p>Partners and Voluntary Sector</p> <ul style="list-style-type: none"> Financial pressures on partners who deliver services with or on behalf of the Council, including voluntary organisations. <p>(Main Chief Officer Responsible – Head Community and Planning Services)</p>	Tolerate the Risk	Remains Medium	<ul style="list-style-type: none"> Current grant funding is being maintained for all supported organisations. Spending can be refocused to meet external funding requirements and is project based rather than on-going. Dedicated officer time to support the voluntary sector and local organisations. The risk remains Medium, but it is considered that the Council is undertaking as much action as reasonably possible to mitigate external factors.