

AUDIT SUB-COMMITTEE

9th December 2020

PRESENT:-

Conservative Group

Councillors Atkin (Chairman), Churchill (Vice-Chairman) and Mrs. Wheelton

Labour Group

Councillors Dunn and Shepherd.

AS/37 **APOLOGIES**

The Sub-Committee was informed that no Members had submitted apologies.

AS/38 **DECLARATIONS OF INTEREST**

The Sub-Committee was informed that no declarations of interest had been received.

AS/39 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE PUBLIC PURSUANT TO COUNCIL PROCEDURE RULE NO.10**

The Sub-Committee was informed that no questions from members of the public had been received.

AS/40 **TO RECEIVE ANY QUESTIONS FROM MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11**

The Sub-Committee was informed that no questions from Members of the Council had been received.

MATTERS DELEGATED

AS/41 **AUDIT RESULTS REPORT FOR THE YEAR ENDING 31ST MARCH 2020**

The External Audit Officer presented the report to the Sub-Committee and highlighted matters of significant risk connected to fraud in revenue recognition, the additional Covid-19 Going Concern Disclosures risk and Value for Money statement. Members were informed that the Derbyshire pension fund would be subject to further updates but there was no impact was expected to the audit opinion.

The Chairman requested confirmation of the audit dates for next year and the response required for Declarations of Interest as mentioned in the report. The External Audit Officer advised that the dates had not been announced due to Covid-19 and that an annual update was required for Related Party Transactions which were included in the Financial Statement.

RESOLVED:-

That the report of the External Auditor was considered and noted.

AS/42 **INTERNAL AUDIT PROGRESS REPORT**

The Internal Audit Officer presented the report to the Sub-Committee highlighting the main points from the Audit Dashboard advising good progress overall and explained that the planned audit of the Leisure Centres had been postponed until next year due to the Covid-19 situation.

The Chairman sought clarification regarding dates for the Housing Services and Parks and Open Spaces audits. The Internal Audit Officer confirmed that the target dates would be decided by the Authority's Officers and the Service.

RESOLVED:-

- 1.1 That the report of the Audit Manager was considered, and any issues identified be referred to the Finance and Management Committee or subject to a follow-up report as appropriate.***

AS/43 **LOCAL CODE OF CORPORATE GOVERNANCE REVIEW 2020/21**

The Head of Legal and Democratic Services presented the report to Members.

RESOLVED:-

- 1.1 That the updated Local Code of Corporate Governance as detailed in Appendix 1 of the report was considered and approved for publication in the Annual Governance Statement.***
- 1.2 That progress regarding on-going work to maintain sound governance as detailed in the report was approved.***

AS/44 **RISK MANAGEMENT**

The Strategic Director (Corporate Resources) presented the report to the Sub-Committee outlining the revised process document and how the Council approached and manages risk.

RESOLVED:-

- 1.1 That the Risk Management Process as detailed in the attached document was approved.**
- 1.2 That Section 4.0, of the Risk Management Process, identifying key risks that in assessing risks the Council included the additional factor of Environment.**

AS/45 **DATA QUALITY & PERFORMANCE MANAGEMENT**

The Strategic Director (Corporate Resources) presented the report to the Sub-Committee noting that the processes and data used in the Corporate Plan must be robust and reflect the Council's performance.

RESOLVED:-

- 1.1 That Members noted the recommendations and responses provided in Appendix A of the report, in the Data Quality and Performance Management Audit Recommendations and Response Matrix 2019/20.**
- 1.2 That the completed Action plan for 2019/20 following the audit as per Appendix B of the report was noted.**
- 1.3 That the Action plan for 2020/21 in Appendix C of the report was approved.**

AS/46 **COMMITTEE WORK PROGRAMME**

The Strategic Director (Corporate Resources) presented the Committee Work Programme to Members.

RESOLVED:-

That the Sub-Committee considered and approved the updated work programme.

AS/47 **LOCAL GOVERNMENT ACT 1972 (AS AMENDED BY THE LOCAL GOVERNMENT [ACCESS TO INFORMATION] ACT 1985)**

RESOLVED:-

That in accordance with Section 100 (A)(4) of the Local Government Act 1972 (as amended) the press and public be excluded from the remainder of the Meeting as it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that there would be disclosed

exempt information as defined in the paragraph of Part I of the Schedule 12A of the Act indicated in the header to each report on the Agenda.

AS/48 **EXEMPT QUESTIONS BY MEMBERS OF THE COUNCIL PURSUANT TO COUNCIL PROCEDURE RULE NO.11**

The Sub-Committee was informed that no questions from Members of the Council had been received.

The Meeting terminated at 5.05 PM.

COUNCILLOR ATKIN

CHAIRMAN