

REPORT TO:	AUDIT SUB-COMMITTEE	AGENDA ITEM:10
DATE OF MEETING:	8th DECEMBER 2021	CATEGORY: DELEGATED
REPORT FROM:	STRATEGIC DIRECTOR FOR CORPORATE RESOURCES	OPEN
MEMBERS' CONTACT POINT:	KEVIN STACKHOUSE (5811)	DOC:
SUBJECT:	DATA QUALITY & PERFORMANCE MANAGEMENT	
WARD (S) AFFECTED:	ALL	TERMS OF REFERENCE: AS 04

1.0 Recommendations

- 1.1 That Members note the Data Quality and Performance Management Audit report provided in **(Appendix A)** which was carried out in October 2021.
- 1.2 That the completed Action Plan for 2020/21 following the audit undertaken in June 2019 **(Appendix B)** is noted.
- 1.3 That risk CR15 Quality of Performance Data is removed from the Corporate Risk register as all recommendations have been actioned and no further recommendations have been made following the recent audit. This is no longer considered a risk.

2.0 Purpose of the Report

- 2.1 To offer assurance that adequate processes and levels of control are in place to uphold high standards of Corporate Performance data quality.

3.0 Executive summary

- 3.1 Central Midlands Audit Partnership (CMAP) carried out an audit of Corporate Data Quality and Performance Management in October 2021 to provide assurance that suitable governance arrangements for managing and monitoring performance data quality were in place, and that robust processes were in place to ensure the overall accuracy and validity of reported performance data.

4.0 Detail

- 4.1 The audit examined three main control objectives, these were data quality governance arrangements, management of individual performance indicators and quality checks. Nineteen controls across the three objectives were evaluated.



- 4.2 Seven controls were evaluated around the suitable governance arrangements in place for the successful management and monitoring of data quality throughout the authority and the report found all seven to be adequately controlled.
- 4.3 Six controls were evaluated around the robust processes for managing individual performance indicators and ensuring the accuracy of the reported performance figures. The report found all six to be adequately controlled.
- 4.4 Six controls were evaluated around the adequate quality checks in place for ensuring the validity of the performance data and the resulting data trail. The report found all six to be adequately controlled.
- 4.5 The report contained no recommendations, as no key control weaknesses were identified, and the report offered the highest assurance rating of 'substantial assurance' that all areas reviewed were found to be adequately controlled.
- 4.6 Members should note that there are no adverse implications for the Council's Annual Governance Statement arising from the audit.

5.0 Financial and Implications

- 5.1 There are no direct financial implications associated with this report.

6.0 Corporate Implications

The Performance Management Framework sets out how we will collect data in order to manage our performance and set priorities. This requires all services to ensure that accurate and reliable data is produced.

6.1 Employment Implications

There are no direct employment implications associated with this report.

6.2 Legal Implications

There are no direct legal implications associated with this report.

6.3 Corporate Plan Implications

Good performance data quality ensures decisions regarding services and their performance are made in an accountable and transparent manner. This enables residents and stakeholders to understand achievements towards the delivery of the Councils Corporate Plan 2020-2024.

6.4 Risk Impact

The Council's Risk Registers are reviewed quarterly and reported to the Finance and Management Committee, Housing and Community Services Committee and



Environment and Development Services as part of the Corporate Plan Performance Reports.

Following the audit, it is recommended that the risk on the Corporate Risk register CR15 - is removed as this is no longer considered a risk.

7.0 Community Impact

7.1 Consultation

None required.

7.2 Equality and Diversity Impact

Not applicable in the context of the report.

7.3 Social Value Impact

Not applicable in the context of the report.

7.4 Environmental Sustainability

Not applicable in the context of the report.

8.0 Appendices

Appendix A – Data Quality and Performance Management Audit Report

Appendix B – Completed Action plan for 2020/2021

