# COMPLAINT FORM: CODE OF CONDUCT FOR MEMBERS

(Please read the 'INFORMATION FOR POTENTIAL COMPLAINANTS' before completing this form)

To: The Chairman
Standards Sub-Committee (Initial Assessment)

#### A. Your details

1. Please provide us with your name and contact details. Anonymous complaints will only be considered if there is independent evidence to substantiate the complaint.

Title:	
First Name:	
Last Name:	
Address:	
Contact telephone:	
E-mail address:	
Signature:	
Date of complaint	

Your address and contact details will not usually be released unless necessary to deal with your complaint.

The following people will see this form:

- Members of the Standards Sub-Committee (Initial Assessment)
- Monitoring Officer of the Council
- the Parish Clerk (if applicable)

A brief summary of your complaint may also be shared by the relevant Sub-Committee with the Member(s) you are complaining against. If you have serious concerns about your name and a summary, or details of your complaint being released, please complete **Section C** of this form and also discuss your reasons or concerns with the Council's Monitoring Officer.

	2.	Plea	ase tell us which col	mpiainant type best describe	es you:
		A member of the public An Elected or Co-opted Member of an Authority An independent Member of a Standards Committee A Member of Parliament A Monitoring Officer Other council employee, contractor or agent of the Council Other ( )			
	3.	Equ	ality Monitoring For	m – please fill in the attache	d form.
В.	Ma	aking	your complaint		
	<ul> <li>The sanctions available to a Standards Committee are governed by law a more serious sanctions are only available to the Adjudication Panel England. For a brief summary of sanctions available, please see informat at the end of this form.</li> <li>Please provide us with the name of the member(s) you believe he breached the Council's, or one of our Parish Councils' Code of Conduct at the name of their Authority.</li> </ul>				
		Title	First name	Last Name	Council or Authority
	-				
	-				
	-				
	5.	5. Please explain in this section (or on a separate sheet(s)) what the member alleged to have done that you believe breaches the Code of Conduct. If you are complaining about more than one member, you should clearly explay what each individual person has done, with dates/witnesses to substantiat the alleged breach.			
	It is also important that you provide all the evidence you wish to have takinto account by the Standards Committee when it decides whether to taking action on your complaint or not. For example:  You should be specific, wherever possible, about exactly what your are alleging the member said or did. For instance, instead of write that the member insulted you, you should state what it was they sor did to insult you.				•
					nstance, instead of writing
		•		ovide the dates of the a	

general timeframe.

- You should confirm whether there are any witnesses to the alleged conduct and provide their names and contact details if possible.
- You should provide any relevant background information or other relevant documentary evidence to support your allegation(s).
- If the allegation(s) being made occurred over 28 days ago, clearly explain why the complaint was not made during that period of time.

Please provide us with the details of your complaint. there is not enough space on this form	Continue on a separate sheet if
(Continue on separate sheet(s), as necessary)	

#### C. Confidentiality of complainant and the complaint details

# Only complete this next section if you are requesting that your identity is kept confidential

- 6. In the interests of fairness and in compliance with the rules of natural justice, we believe members who are complained about have a right to know who has made the complaint and the substance of the allegation(s) made against him/her. We are, therefore, unlikely to withhold your personal details or the details of your complaint unless you have good reasons to believe that you have justifiable grounds, for example:
  - to believe you may be victimised or harassed by the Member(s) against whom you are submitting a written complaint (or by a person associated with the same); or
  - may receive less favourable treatment from the Council because of the seniority of the Member against whom you are submitting a written complaint in terms of any existing Council service provision or any tender/contract that you may have or are about to submit to the Council

Please note that requests for confidentiality or requests for suppression of the personal and complaint details will not automatically be granted. The Standards Sub-Committee (Initial Assessment) will consider the request alongside the substance of your complaint and the Monitoring Officer will then contact you with the decision. If your request for confidentiality is not granted, we will usually allow you the opportunity, if you so wish, of withdrawing your complaint.

However, it is important to understand that – in certain exceptional circumstances, where the matter complained about is very serious – we may proceed with an investigation (or other action) and may have no choice but to disclose your personal and complaint details, because of the allegation(s) made, even if you have expressly asked us not to.

Please provide us with details of why you believe we should withhold your name and/or the details of your complaint:				
(Continue on separate sheet(s), as necessary)				

### D. Remedy sought

7.	Please indicate the remedy or remedies you are looking for or hopin achieve by submitting this complaint.	g to
	(Continue on separate sheet(s), as necessary)	

#### E. Additional information

- **8.** Complaints must be submitted in writing. This includes fax and electronic submissions. Frivolous, vexatious and politically motivated tit-for-tat complaints are likely to be rejected.
- **9.** In line with the requirements of the Disability Discrimination Act 2000, we can make reasonable adjustments to assist you if you have a disability that prevents you from making your complaint in writing. We can also help if English is not your first language.
- **10.** If you need any support in completing this form, please contact the Monitoring Officer as soon as possible.

#### F. Process from here

11. Once a valid complaint relating to an alleged breach of the Code of Conduct for Members has been received by the Monitoring Officer, it will be presented to a meeting of the Standards Sub-Committee (Initial Assessment) for consideration/determination. You and the member against whom the complaint has been made will not be allowed to attend the deliberations of the Sub-Committee as the matter will be considered in private.

- **12.** The Sub-Committee may resolve to:
  - (a) dismiss your complaint, with reasons;
  - (b) ask you for additional information, with reasons;
  - (c) refer your complaint to the Monitoring Officer for investigation (or other action); or
  - (d) refer your complaint to the Standards Board for England if the complaint does not fall within the jurisdiction of the Standards Committee.
- **13.** You will be notified after the meeting and given information on any further stage(s) in the process at that time.

Andrea McCaskie
Monitoring Officer
South Derbyshire District Council
Civic Offices
Civic Way
Swadlincote
Derbyshire
DE11 0AH

Tel: 01283 595831 Fax: 01283 228734

E-mail: andrea.mccaskie@south-derbys.gov.uk

## **Standardised Monitoring Categories**

South Derbyshire District Council, together with our partners is committed to providing services fairly to make sure that you and other customers are not disadvantaged.

In order to ensure the successful development of this policy, all service users are requested to complete this short survey so we can collect information that will help us improve the services we provide.

About Yourself	
Your Age	
16 to 25 26 to 35	36 to 45 46 to 55
56 to 65 66 to 74	75 & over
Your Ethnicity (Please tick one	e box only)
White	
British	
Irish	
Gypsy/Traveller	
Any other White background	(please tick and write in below)
Mixed/Dual Heritage	
White and Black Caribbean	
White and Black African	
White and Asian	
Any other mixed background	(please tick and write in below)
Asian or Asian British	
Indian	
Pakistani	
Bangladeshi	
Any other Asian background	(please tick and write in below)

Continued overleaf

Black or Black British					
Caribbean					
African					
Any other Black backgroun	d [p	lease tick and writ	e in below	)	
Chinese or Other Ethnic (	Groups				
Chinese					
Other ethnic group	(p	lease tick and write	e in below)	)	
The Disability Discrimination Act (1995) DDA defines a person as disabled if they have "a physical or mental impairment which has a substantial and long term adverse effect on their ability to carry out normal day to day activities".					
Do you consider yourself to	have a disal	oility as defined by	the DDA?		
Yes	No				
Your Gender:					
Male	Female				
Your Religion					
Christian (all denominations)		Sikh		No Religion	
Hindu		Buddhist		Muslim	
Jewish		Other (please specify)			
Your Sexual Orientation					
Gay		Lesbian		Bisexual	
Heterosexual		Prefer Not To Say			

#### Privacy Statement - Data Protection 1998 and Freedom of Information Act 2000

The data supplied on this form will be held on a computer and will be used in accordance with the Data Protection Act 1998 for statistical analysis, management, planning and the provision of services by South Derbyshire District Council and its partners. The information will be held in accordance with the Council's records management and retention policy.

Information contained in this document may be subject to release to others in accordance with the Freedom of Information Act 2000. Certain exemptions from release do exist including where the information provided is protected by the Data Protection Act 1998.